



Docket: 71402 US02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Williams et al.

Confirmation No.: 8232

Serial No.: 10/068,627

Group Art Unit: 1711

Filed: February 6, 2002

Examiner: Sanza L. McClendon

For: UV-CURABLE, NON-CHLORINATED ADHESION PROMOTERS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(c)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final action or the notice of allowance.

Accordingly,

☐ the undersigned hereby states (37 CFR 1.97(e)) that

☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

- ☒ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under §1.97(c). Please charge Deposit Account No. 05-0221 in the amount of \$180.00. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.17 which may be required by this paper or credit any overpayment to Deposit Account No. 05-0221. A duplicate copy of this paper is attached.

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Respectfully submitted,



Michael K. Carrier
Registration No. 42,391

26 January 2004
Date

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jo Ann White
Jo Ann White

January 26, 2004
Date

PIPE JCE
JAN 28 2004
PATENT & TRADEMARK OFFICE

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+

Substitute for form 1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	71402 US02
First Named Inventor	Williams et al.
COMPLETE IF KNOWN	
Application Number	10/068,627
Filing Date	February 6, 2002
Group Art Unit	1711
Examiner Name	Sanza L. McClendon

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		3,579,485		Folzenlogen et al.	05-18-1971
		4,070,421		Etter, Jr.	01-24-1978
		4,146,590		Yamamoto et al.	03-27-1979
		4,461,809		Shiomi et al.	07-24-1984
		4,632,962		Gallucci	12-30-1986
		4,727,120		Nogues	02-23-1988
		4,966,947		Fry et al.	10-30-1990
		4,997,882		Martz et al.	03-05-1991
		5,030,681		Asato et al.	07-09-1991
		5,135,984		Kinosada et al.	08-04-1992
		5,143,976		Ashihara et al.	09-01-1992
		5,227,198		Laura et al.	07-13-1993
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		5,300,363		Laura et al.	04-05-1994
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		5,523,358		Hirose et al.	06-04-1996
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		5,663,266		Taylor et al.	09-02-1997
		5,709,946		Jackson et al.	01-20-1998

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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JAN 28 2004
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Attorney Docket Number	71402 US02
				First Named Inventor	Williams et al.
				COMPLETE IF KNOWN	
				Application Number	10/068,627
				Filing Date	February 6, 2002
				Group Art Unit	1711
Sheet	2	of	2	Examiner Name	Sanza L. McClendon

		5,756,566		Alger E. Laura	05-26-1998
		5,759,703		Neymark et al.	06-02-1998
		5,801,219		Neymark et al.	09-01-1998
		5,804,640		Laura et al.	09-08-1998
		5,811,489		Shirai et al.	09-22-1998
		5,863,646		Verardi et al.	01-26-1999
		6,001,469		Verardi et al.	12-14-1999
		6,310,134	B1	Templeton et al.	10-30-2001
		U.S. Serial No. 10/208,642		Williams et al.	07-30-2002

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	1 036 817	A1	Nippon Paper Industries, Co., Ltd.	09-20-2000	
		EP	0 369 674	A1	Exxon Chemical Patents Inc.	05-23-1990	
		EP	0 355 895	A2	Shell International Research Maatschappij B.V.	02-28-1990	
		EP	0 489 495	A2	Lord Corporation	06-10-1992	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature		Date Considered	
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